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Analysis and Simulation of  
Heterostructure Devices

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# List of Acronyms

AC	...	Alternating Current
ADS	...	Advanced Design System
BB	...	Band-to-Band tunneling
BCB	...	Benzocyclobutene
BGN	...	Bandgap Narrowing
BICMOS	...	Bipolar CMOS
BJT	...	Bipolar Junction Transistor
BV	...	Breakdown Voltage
CAD	...	Computer Aided Design
CML	...	Current-Mode Logic
CMOS	...	Complementary Metal Oxide Semiconductor
CQFL	...	Continuous Quasi-Fermi Level
CVD	...	Chemical Vapor Deposition
CW	...	Continuous wave
DC	...	Direct Current
DD	...	Drift-Diffusion
DHBT	...	Double Heterojunction Bipolar Transistor
DOS	...	Density of States
ECL	...	Emitter-Coupled Logic
ET	...	Energy Transport
FET	...	Field Effect Transistor
GSH	...	Global Self Heating
HBT	...	Heterojunction Bipolar Transistor
HD	...	Hydrodynamic
HEMT	...	High Electron Mobility Transistor
HFET	...	Heterostructure Field Effect Transistor
IC	...	Integrated Circuit
II	...	Impact Ionization
MAG	...	Maximum Available Gain
MBE	...	Molecular Beam Epitaxy
MC	...	Monte-Carlo
MDS	...	Microwave Design System
MESFET	...	Metal Semiconductor Field Effect Transistor

MIC	...	Microwave Integrated Circuit
MMIC	...	Monolithic Microwave Integrated Circuit
MOCVD	...	Metal Organic Chemical Vapor Deposition
MODFET	...	Modulation Doped FET
MOS	...	Metal Oxide Semiconductor
MOSFET	...	Metal Oxide Semiconductor FET
MSG	...	Maximum Stable Gain
NID	...	Non-Intentionally Doped
PAE	...	Power-Added Efficiency
PHEMT	...	Pseudomorphic HEMT
RF	...	Radio Frequency
RST	...	Real Space Transfer
SH	...	Self Heating
SHBT	...	Single Heterojunction Bipolar Transistor
SOI	...	Silicon on Insulator
SIMS	...	Secondary Ion Mass Spectroscopy
SIESTA	...	Simulation Environment for Semiconductor Technology Analysis
SRH	...	Shockley-Read-Hall
TBB	...	Trap-Assisted Band-to-Band Tunneling
TCAD	...	Technology CAD
TE	...	Thermionic Emission
TFE	...	Thermionic Field Emission
VISTA	...	Viennese Integrated System for TCAD Appli- cations
WKB	...	Wigner-Kramers-Brillouin

# List of Symbols

$\Delta$	... step, difference, change
$\Delta E_V, \Delta E_C$	... difference of the valence/conduction band at a heterointerface between two segments
$\Delta E_g$	... total difference of the bandgaps at a heterointerface between two segments
$\Gamma$	... tunneling probability
$\Gamma_n, \Gamma_p$	... field enhancement factors
$\alpha, \beta$	... general exponent
$\alpha$	... general temperature coefficient
$\alpha$	... in HBT compact model: amplification
$\alpha, \beta$	... in the bandgap model: temperature coefficients
$\alpha_\nu$	... auxiliary quantity in the Hänsch mobility model for carrier type $\nu$
$\alpha_\nu$	... quantity in the impact ionization model for carrier type $\nu$
$\beta_F$	... inverse Fermi screening length
$\beta_n, \beta_p, \beta_\nu$	... exponents in mobility models for electrons, holes, and general carrier type
$\gamma$	... exponent in mobility models
$\delta E_\nu$	... barrier height lowering
$\epsilon$	... permittivity
$\epsilon_s, \epsilon_{\text{ins}}$	... permittivity of semiconductor, insulator
$\epsilon_r$	... relative permittivity
$\epsilon_{\text{ox}}$	... relative permittivity of the oxide in the polysilicon contact model
$\phi_B$	... barrier height
$\phi_{\text{Fm}}$	... Fermi potential in the metal
$\phi_{\text{ms}}$	... metal workfunction difference potential
$\phi_{\text{Fn}}$	... quasi-Fermi potential for electrons in the semiconductor
$\phi_{\text{Fn},1}$	... quasi-Fermi potential for electrons in the semiconductor 1
$\kappa_L$	... lattice thermal conductivity

$\kappa_n, \kappa_p, \kappa_\nu$	... thermal conductivity of electrons, holes, and general carrier type
$\kappa_{300}^A$	... thermal conductivity at 300 K of material A
$\lambda_0, \lambda$	... temperature dependent mean free path for the optical phonon
$\lambda_B$	... De Broglie wave length
$\mu_\nu$	... mobility of carrier type $\nu$
$\mu_\nu^{\min}$	... minimum mobility
$\mu_\nu^{\text{sr}}$	... surface mobility contribution of carrier $\nu$ at 300 K in the surface scattering model
$\mu_\nu^{\text{L}}$	... mobility due to lattice scattering
$\mu_\nu^{\text{LI}}$	... mobility due to lattice and impurity scattering
$\mu_\nu^{\text{LIS}}$	... mobility due to lattice, impurity, and surface scattering
$\mu_\nu^{\text{LISF}}$	... mobility including lattice, impurity, surface scattering, and high-field reduction
$\mu_\nu^{\text{LIST}}$	... mobility including lattice, impurity, surface scattering, and high-temperature reduction
$\mu_\nu^{\text{LIT}}$	... mobility including lattice, impurity, and high-temperature reduction
$\mu_{\text{maj}}^{\text{mid}}, \mu_{\text{maj}}^{\text{hi}}$	... parameters of the majority mobility model
$\mu_{\text{min}}^{\text{mid}}, \mu_{\text{min}}^{\text{hi}}$	... parameters of the minority mobility model
$\mu_n, \mu_p$	... electron and hole mobilities
$\mu_{\nu,300}^{\text{ref}}$	... reference mobility of carrier $\nu$ at 300 K in the surface scattering model
$\rho_L$	... mass density
$\sigma_{\text{T,n}}, \sigma_{\text{T,p}}$	... trap capture cross sections for electrons and holes
$\sigma_{\text{ox}}$	... oxide conductivity
$\sigma_s$	... surface (interface) charge density
$\tau$	... phase term of the transconductance $g_m$
$\tau_{\text{port}}$	... port extension
$\tau_{\epsilon,n}, \tau_{\epsilon,p}, \tau_{\epsilon,\nu}$	... energy relaxation times for electrons, holes, and general carrier type
$\tau_{\epsilon,0}, \tau_{\epsilon,1}$	... parameters for the energy relaxation times model
$\tau_{\nu,\text{dop}}$	... doping dependent lifetime of carrier $\nu = n, p$
$\tau_{\nu,\text{max}}$	... maximum lifetime of carrier $\nu = n, p$
TAUG	... carrier lifetime defined by Auger recombination
TC	... bowing parameter energy relaxation time
TDIR	... carrier lifetime defined by direct recombination
TSRH	... carrier lifetime defined by SRH recombination
TSURF	... surface contribution to carrier lifetime

$\tau_T$	... time constant, inverse of $f_T$
$\tau_b$	... base transit time
$\tau_c$	... collector transit time
$\tau_{cap,\nu}$	... capture time constant for carrier $\nu$
$\tau_{eb}$	... base emitter transit time
$\tau_{ec}$	... emitter collector transit time
$\tau_{em,\nu}$	... emission time constant for carrier $\nu$
$\tau_{ext}$	... time constant, inverse of extrinsic $f_T$
$\tau_i$	... intrinsic delay time
$\tau_n, \tau_p$	... recombination lifetimes for electrons and holes
$\tau_{n,300}, \tau_{p,300}, \tau_{\nu,300}$	... lifetime of carrier $\nu = n, p$ at $T_L = 300$ K
$\tau_{sc}$	... space charge transit time
$\tau_{tot}$	... total carrier lifetime
$\varphi_m$	... metal quasi-Fermi level
$\varphi_w$	... workfunction difference potential
$\psi$	... electrostatic potential
$\psi_{bi}$	... built-in potential
$\varphi_s$	... semiconductor contact potential
$\omega$	... oscillation frequency
$\omega_0$	... oscillation frequency of phonon
$A_\nu$	... temperature coefficient saturation velocity for carrier $\nu = n, p$
$A_\nu$	... coefficient for impact ionization for carrier $\nu = n, p$
$A^*$	... Richardson constant typical for thermionic emission process
$A, B$	... general parameters in recombination models
$B_\nu$	... threshold energy in the impact ionization model
$B_\nu$	... parameter for the surface mobility in the Lombardi mobility model
$BV_{CE0}$	... collector-emitter breakdown voltage
$BV_{GD}$	... gate-drain diode breakdown voltage
$BV_i$	... breakdown voltage
$C_\varepsilon$	... permittivity bowing parameter
$C_\kappa$	... thermal conductivity bowing parameter
$C_\mu$	... mobility bowing parameter
$C_\nu$	... parameter for the surface mobility in the Lombardi mobility model
$C_{vsat,\nu}^\Gamma$	... bowing parameter of the saturation velocity of carrier type $\nu$ in $\Gamma$ -valley
$C_{\nu,300K}^{AUG}$	... Auger coefficients for carrier $\nu$ at 300 K
$C_\nu^{AUG}$	... Auger coefficients for carrier $\nu$
$C^{DIR}$	... direct recombination coefficient

$C_I$	...	total impurity concentration
$C_{IN}$	...	parasitic input capacitance
$C_{OUT}$	...	parasitic output capacitance
$C$	...	bowing parameter in the energy relaxation time model for <i>alloy materials</i>
$C_1, C_2, C_3$	...	reference concentrations in the Masetti mobility model
$C_0^{AB}$	...	model parameter for <i>alloy materials</i> in the energy relaxation time model
$C_{bc}$	...	base collector capacitance
$C_{bc,tot}$	...	total base collector capacitance
$C_{ds}$	...	drain-source capacitance
$C_{eb,dep}$	...	emitter depletion capacitance
$C_{fb}$	...	feedback capacitance
$C_{fringe}$	...	fringe contribution to a capacitance
$C_{g,tot}$	...	total gate capacitance
$C_g$	...	bandgap bowing parameter
$C_{g,\Gamma}$	...	bandgap bowing parameter $\Gamma$ -valley
$C_{g,X}$	...	bandgap bowing parameter $X$ -valley
$C_{gd}$	...	gate drain capacitance
$C_{gs}$	...	gate source capacitance
$C_i$	...	$i=1,\dots,4$ : parameter for the impact ionization model
$C_i$	...	$i=0,\dots,3$ : parameter for the energy relaxation time model
$C_i$	...	$i=1,2$ : parameter for the built-in potential
$C_{jc}$	...	collector junction capacitance
$C_{im}$	...	additional capacitance due to impact ionization
$C_{in}$	...	input capacitance
$C_{m,\nu}$	...	relative carrier mass bowing parameter
$C_{met}$	...	contribution to $C_{gd}$ due to coupling of metal periphery
$C_{maj}^{mid}, C_{maj}^{hi}$	...	parameters of the majority mobility model
$C_{min}^{mid}, C_{min}^{hi}$	...	parameters of the minority mobility model
$C_{net}$	...	net concentration
$C_{pad}$	...	parasitic pad capacitance
$C_{pass}$	...	contribution to $C_{gd}$ due to device passivation
$C_{pds}, C_{pgs}, C_{pgd}$	...	parasitic drain-source, gate-source, and gate-drain capacitances
$C_{ref}$	...	reference impurity concentration
$C_{spaceDC}$	...	contribution to $C_{gs}$ due to the space charge region
$C_{traps}$	...	frequency dispersive contribution to $C_{gs}$

$C_{vsat}$	... bowing parameter of the saturation velocity
$\mathbf{D}$	... dielectric flux
$D_\nu$	... parameter for the surface mobility in the Lombardi mobility model
$D_n$	... effective diffusivity in the base
$E_\nu^{crit}$	... critical field for impact ionization for carrier $\nu$
$E_{crit}$	... critical field for the onset of saturated behaviour in compact models
$E_F$	... Fermi energy
$E_{Fm}$	... Fermi energy in the metal
$E_{Fn}$	... Fermi energy for electrons in the semiconductor
$E_{Fp}$	... Fermi energy for holes in the semiconductor
$E_T$	... trap energy
$\mathbf{E}$	... local electric field
$E_C$	... conduction band energy
$E_g$	... bandgap energy
$E_{gate}$	... effective field at the gate
$E_{g,\Gamma}$	... bandgap energy in $\Gamma$ -valley
$E_{g,X}$	... bandgap energy in X-valley
$E_{g,0}, E_{g,300}$	... bandgap energy at 0 K, and at 300 K
$E_i$	... bandgap modeling parameters
$E_i$	... threshold energy
$E_{i,\nu}$	... threshold energy of carrier $\nu$
$\mathbf{E}_{ins}$	... local electric field in insulator
$E_{off}$	... energy offset
$E_{\perp 2}$	... electric field orthogonal to the interface
$E_{r,0}$	... energy loss per scattering at reference temperature
$\mathbf{E}_s$	... local electric field in semiconductor
$E_V$	... valence band energy
$E_w$	... workfunction energy difference
$E_0$	... minimum energy in the traps-assisted model
$F$	... field in impact ionization model
$F_{min}$	... minimum noise figure
$F_n, F_p, F_\nu$	... driving force for electrons and holes
$F_c^\pm$	... critical field strengths in the direct band to band tunneling model
$F_o$	... steady-state occupancy function
$F_0$	... critical driving force at which overshoot in the velocity-field characteristics occur
$G_n, G_p$	... electron and hole emission rate
$G_n, G_p, G_\nu$	... generation rate of carrier $\nu = n, p$
$G_\nu^H$	... impact ionization generation of carrier $\nu$

$G_{\text{tun}}$	... local generation rate associated with the tunneling
$H$	... heat generation
$I_B, I_C, I_E$	... base, collector, emitter currents
$I_{\text{Con}}$	... current through a contact
$I_D$	... drain current
$I_{D\text{max}}$	... maximum drain current
$I_{\text{DS}}$	... drain source current
$I_G$	... gate current
$I_{\text{II}}$	... impact ionization contribution to the gate current
$I_{\text{TFE}}$	... thermionic field emission contribution to the gate current
$J_n, J_p$	... electron and hole current densities
$J_{\text{tun}}$	... current density of tunneling currents
$K_i$	... temperature coefficients for Auger recombination
$L_D, L_G, L_S$	... drain, gate, and source inductances
MAG	... maximum available gain
$M_C$	... valley degeneracy factor of the conduction band
ME	... modulation efficiency
MSG	... maximum stable gain
$N_A$	... acceptor doping concentration
$N_C$	... effective density of states for electrons
$N_{C,0}$	... effective density of states for electrons evaluated at reference temperature $T_0$
$N_D$	... donor doping concentration
$N_{\text{ref},\nu}$	... reference doping concentration for carrier $\nu = n, p$
$N_T$	... concentration of traps
$N_V$	... effective density of states for holes
$N_{V,0}$	... effective density of states for holes evaluated at reference temperature $T_0$
$P_\nu$	... pressing forces for the carrier $\nu$
$P_\nu^{\text{ref}}$	... reference pressing forces for the carrier $\nu$
$P_L$	... ratio of the $\Gamma$ and L valley populations in the multivalley mobility model
$Q_s$	... total charge in the device
$R$	... net recombination rate
$R^{\text{AUG}}$	... Auger recombination rate
$R_B$	... parasitic base resistance
$R^{\text{BB}}$	... band to band tunneling recombination rate
$R_{\text{Con}}$	... contact resistance



$R_C$	...	parasitic collector resistance
$R^{\text{DIR}}$	...	direct (radiative) recombination rate
$R_E$	...	parasitic emitter resistance
$R_H$	...	Huang-Rhys factor
$R^{\text{II}}$	...	contribution from impact ionization to the net generation/recombination rate
$R_{\text{SM}}, R_{\text{DM}}$	...	metal contribution to $R_S, R_D$
$R^{\text{SRH}}$	...	SRH net recombination rate
$R_S, R_G, R_D$	...	parasitic source, gate, drain resistances
$R_{\text{Ssemi/alloy}}, R_{\text{Dsemi/alloy}}$	...	semiconductor or alloy contribution to $R_S, R_D$
$R_{\text{TH}}$	...	thermal resistance
$R_{\text{bb}}$	...	base resistance
$R_{\text{ds}}$	...	drain-source resistance
$R_{\text{eff}, \nu}$	...	effective (net) recombination rate of carrier $\nu$
$R_{\text{gap}}$	...	contribution to base resistance
$R_{\text{gd}}$	...	gate-drain resistance
$R_{\text{gs}}$	...	gate-source resistance
$R_{\text{TH, glob}}$	...	global thermal resistance
$R_{\text{im}}$	...	resistance describing impact ionization in compact models
$R_{\text{maj}}, R_{\text{min}}$	...	majority and minority Rydberg energies
$R_n, R_p$	...	recombination rate of carrier n,p
$R_{\text{pgd}}$	...	gate leakage resistance drain side
$R_{\text{pgs}}$	...	gate leakage resistance source side
$R_{\text{spread}}$	...	contribution to base resistance
$S_L$	...	lattice heat flux density
$S_{ij}$	...	scattering (S-) parameter, $i, j=1, 2$
$s_n, s_p$	...	surface recombination velocities for electrons and holes
$S_n, S_p$	...	electron and hole energy flux density
$T_C$	...	contact temperature
$T_L$	...	local lattice temperature
$T_n, T_p$	...	electron and hole temperatures
$T_\nu$	...	carrier temperature of carrier $\nu$
$T_{\nu, i}$	...	carrier temperature of type $\nu$ in segment $i$
$T_{\text{sub}}$	...	substrate temperature
$U$	...	unilateral gain
$V_{\text{BE}}, V_{\text{CE}}, V_{\text{BC}}$	...	base-emitter, collector-emitter, and collector-base voltages
$V_{\text{Con}}$	...	voltage at a contact
$V_{\text{DS}}, V_{\text{GD}}, V_{\text{GS}}$	...	drain-source, gate-drain, and gate-source voltages
$V_{\text{bar}}$	...	equivalent potential barrier height

$V_{\text{ox}}$	... voltage drop over the oxide at the polysilicon contact
$V_{\text{thr}}$	... threshold voltage
$W_{\text{g}}$	... gate width
$X_{\text{b}}$	... effective base width
$Y_{ij}$	... Y-parameter for $i,j=1,2$
$Z_{ij}$	... Z-parameter for $i,j=1,2$
$c_{\text{L}}$	... lattice specific heat
$c_{\text{L},300}$	... lattice specific heat at $T_{\text{L}} = 300$ K
$c_{\text{eff}}$	... effective capacitance
$c_{\text{n}}, c_{\text{p}}$	... heat capacity of electron gas and hole gas
$d$	... thickness, length
$d_{\text{eff}}$	... effective gate-to-channel separation
$d_{\text{ox}}$	... oxide thickness
$d_{\text{tun}}$	... effective tunneling length
$d_{\text{R}}$	... recess length
$f_{\text{T}}$	... current gain cut-off frequency
$f_{\text{T,ext}}$	... extrinsic current gain cut-off frequency
$f$	... frequency
$f_{\text{c}}$	... frequency for $k = 1$
$f_{\text{max}}$	... maximum frequency of oscillation
$g_{\text{ds}}$	... output conductance
$g_{\text{ds,ext}}$	... extrinsic output conductance
$g_{\text{m}}$	... transconductance
$g_{\text{mi}}$	... intrinsic transconductance
$g_{\text{mim}}$	... transconductance due to impact ionization
$g_{\text{m,max}}$	... maximum transconductance
$h$	... Planck constant
$\hbar$	... reduced Planck constant
$k_{\text{B}}$	... Boltzmann constant
$k$	... stability factor
$l_{\text{Co}}$	... length contact to recess
$l_{\text{g}}$	... gate length
$m_{\Gamma}$	... relative carrier masses in $\Gamma$ -valley
$m_{\nu}^*$	... effective tunneling mass band-to-band model
$m_{\text{L}}$	... relative carrier masses in L valley
$m_0$	... free electron mass
$m_{0,\text{n}}, m_{0,\text{p}}$	... relative masses of electrons and holes at 0 K
$m_{\text{X}}$	... relative carrier masses in X valley
$m_{\text{n}}, m_{\text{p}}$	... relative masses of electrons and holes
$m_{1,\text{n}}, m_{1,\text{p}}, m_{2,\text{p}}$	... parameters for the temperature dependence of the relative masses of electrons and holes
$m_{\text{nt}}$	... transversal electron mass

$m_{nl}$	...	longitudinal electron mass
$m_{ph}$	...	heavy hole mass
$m_{pl}$	...	light hole mass
$m_t$	...	effective tunneling mass heterojunction tunneling
$n_0$	...	equilibrium concentration at contact
$\mathbf{n}$	...	a normal vector
$n$	...	electron concentration
$n_1$	...	auxiliary concentration in the SRH model
$n_c$	...	reference charge
$n_i$	...	intrinsic electron concentration
$n_s$	...	surface concentration of electrons
$n_{\text{sheet}}$	...	channel sheet charge density
$n_t$	...	auxiliary concentration in the trap-assisted model
$n_T$	...	concentration of occupied traps
$p$	...	hole concentration
$p_0$	...	equilibrium concentration
$p_1$	...	auxiliary concentration in SRH model
$p_i$	...	intrinsic hole concentration
$p_t$	...	auxiliary concentration in the trap-assisted model
$p_s$	...	surface concentration of holes
$q$	...	elementary charge
$r_s$	...	density parameter for the BGN model
$t$	...	time
$u$	...	carrier energy relative to the threshold energy
$v_{\text{eff}}$	...	effective carrier velocity
$v_n, v_p$	...	velocity for electrons and holes
$v_{\text{em},n}, v_{\text{em},p}$	...	emission velocities for electrons and holes
$v_{\text{sat},n}, v_{\text{sat},p}$	...	saturation velocities for electrons and holes
$v_{\text{sat},n,300}, v_{\text{sat},p,300}$	...	saturation velocities for electrons and holes at 300 K
$v_{\text{sat},n}^{\Gamma}, v_{\text{sat},n}^{\text{L}}$	...	saturation velocities for electrons in valley $\Gamma, \text{L}$
$v_{\text{th},n}, v_{\text{th},p}$	...	average thermal velocities for electrons and holes
$v_{\text{th},n,300}, v_{\text{th},p,300}$	...	thermal velocities for electrons and holes at 300 K
$w_n, w_p$	...	average electron/hole energy
$x$	...	material composition
$y$	...	distance
$y^{\text{ref}}$	...	surface reference distance

# 1. Introduction

Communication and information systems are subject to rapid and highly sophisticated changes. Currently semiconductor heterostructure devices, such as Heterojunction Bipolar Transistors (HBTs) and High Electron Mobility Transistors (HEMTs), are among the fastest and most advanced high-frequency devices. They satisfy the requirements for low power consumption, medium integration, low cost in large quantities, and high-speed operation capabilities in circuits. In the very high-frequency range, cut-off frequencies up to 500 GHz [557] have been reported on the device level. HEMTs and HBTs are very suitable for high-efficiency power amplifiers at 900 MHz as well as for data rates higher than 100 Gbit/s for long-range communication and thus cover a broad range of applications.

To cope with explosive development costs and the competition of today's semiconductor industry, Technology Computer-Aided Design (TCAD) methodologies are used extensively in development and production. As of 2003, III-V semiconductor HEMT and HBT micrometer and millimeter-wave integrated circuits (MICs and MMICs) are available on six-inch GaAs wafers. SiGe HBT circuits, as part of the CMOS technology on eight-inch wafers, are in volume production. Simulation tools for technology, devices, and circuits reduce expensive technological efforts. This book focuses on the application of simulation software to heterostructure devices with respect to industrial applications. In particular, a detailed discussion of physical modeling for a great variety of materials is presented.

Chapter 2 discusses the status of research regarding the most important electronic heterostructure devices, HBTs and HEMTs. It includes a review of state-of-the-art materials, devices, and driver applications. Device-specific optimization potentials are discussed systematically. Device simulators are reviewed and a discussion of the materials and material systems on which heterostructure devices are based is given.

Chapter 3 is dedicated to the derivation and application of physics-based analytical models, compiled in a comprehensive and carefully systemized collection. It contains models for the lattice, thermal, band-structure, and transport properties of various semiconductor materials, as well as models for important high-field and high-doping effects which occur in the devices. The quality of these models, in terms of their accuracy and simplicity, is decisive for the predictive capabilities